



LASALLE ST. SECURITIES, L.L.C.  
940 N. INDUSTRIAL DR.  
ELMHURST, IL 60126

## Confirmation Notice

| Brokerage No. | Type | Reg Rep | TRADE DATE | SETTLEMENT DATE | TRANS NO. | CUSIP     | Exc | Orig |
|---------------|------|---------|------------|-----------------|-----------|-----------|-----|------|
| AD3-160202    | 1    | 006     | 10-18-07   | 10-23-07        | 670804    | 939322103 | 8   |      |

|        |              |     |
|--------|--------------|-----|
| Symbol | WM           | 243 |
| REF #  | 07291-670804 |     |

YOU BOUGHT 80 AT 30.59

BUY 01 2001

AT SEATTLE  
CLERK OF THE COURT  
WESTERN DISTRICT OF WASHINGTON  
DEPUTY

### SECURITY DESCRIPTION

WASHINGTON MUTUAL INC

WE HAVE ACTED AS AGENT. SOLICITED ORDER



08-MD-01919-NTC

LA SALLE ST. SECURITIES, L.L.C. is a registered broker-dealer and member NASD

PLEASE RETURN  
REMITTANCE COPY

WITH SECURITIES  
SOLD OR PAYMENT IN  
THE NET AMOUNT

BY  
SETTLEMENT  
DATE

SIPC

ACCOUNT CARRIED WITH NATIONAL FINANCIAL SERVICES LLC - ALL ORDERS ARE UNSOLICITED UNLESS SPECIFIED ABOVE.  
DETACH HERE DETACH HERE

## BROKERAGE ACCOUNT REMITTANCE SLIP

MAKE CHECKS PAYABLE TO NATIONAL FINANCIAL SERVICES LLC. PLEASE INCLUDE YOUR ACCOUNT NUMBER ON YOUR CHECK. SEE REVERSE SIDE FOR STOCK CERTIFICATE ENDORSEMENT INSTRUCTIONS.

FOR QUESTIONS CALL: (617) 228-5700

|                  |            |
|------------------|------------|
| BROKERAGE NUMBER | AD3-160202 |
| AMOUNT ENCLOSED  |            |

WALTER FAHERTY  
27 MYRTLE AV  
BRIDGEPORT CT 06604

LASALLE ST. SECURITIES, L.L.C.  
940 N. INDUSTRIAL DR.  
ELMHURST, IL 60126

## YOU SOLD

|                       |                              |                     |                        |                          |                           |                             |                                  |                  |
|-----------------------|------------------------------|---------------------|------------------------|--------------------------|---------------------------|-----------------------------|----------------------------------|------------------|
| Symbol<br><u>MRVC</u> | Ref #<br><u>10362-001986</u> | Qty<br><u>1,000</u> | Price<br><u>1.7500</u> | Coms<br><u>553477100</u> | Type<br><u>1. AC8 AC8</u> | Trade Date: <u>12-28-10</u> | Settlement Date: <u>12-31-10</u> | Chg<br><u>9.</u> |
|-----------------------|------------------------------|---------------------|------------------------|--------------------------|---------------------------|-----------------------------|----------------------------------|------------------|

SECURITY DESCRIPTION  
MRV COMMUNICATIONS  
WE HAVE ACTED AS AGENT.  
LOTS WITHOUT SPECIFIC SHARES INSTRUCTIONS WILL BE DEPLETED USING  
FIRST IN, FIRST OUT METHOD PER CUSTOMER INSTRUCTIONS.  
SOLICITED ORDER

## YOU SOLD

|                        |                              |                  |                      |                          |                           |                             |                                  |                  |
|------------------------|------------------------------|------------------|----------------------|--------------------------|---------------------------|-----------------------------|----------------------------------|------------------|
| Symbol<br><u>WAMUQ</u> | Ref #<br><u>10362-0COKNW</u> | Qty<br><u>80</u> | Price<br><u>.058</u> | Coms<br><u>939322103</u> | Type<br><u>1. AC8 AC8</u> | Trade Date: <u>12-28-10</u> | Settlement Date: <u>12-31-10</u> | Chg<br><u>9.</u> |
|------------------------|------------------------------|------------------|----------------------|--------------------------|---------------------------|-----------------------------|----------------------------------|------------------|

SECURITY DESCRIPTION  
WASHINGTON MUTUAL INC  
WE HAVE ACTED AS AGENT.  
LOTS WITHOUT SPECIFIC SHARES INSTRUCTIONS WILL BE DEPLETED USING  
FIRST IN, FIRST OUT METHOD PER CUSTOMER INSTRUCTIONS.  
SOLICITED ORDER

|                         |                 |
|-------------------------|-----------------|
| Principal Amount        | <u>1,750.00</u> |
| Commission              | <u>65.00</u>    |
| Activity Assessment Fee | <u>.03</u>      |
| Service Fee             | <u>5.65</u>     |
| Settlement Amount       | <u>1,679.32</u> |

*Amul 48  
Mon 28/10*

|                 |                 |
|-----------------|-----------------|
| <u>2,541.20</u> | <u>3,208.50</u> |
| <u>4.63</u>     | <u>1,479.31</u> |
| <u>1,523.18</u> |                 |
| <u>1400.75</u>  | <u>1,801.75</u> |
| <u>3,209.50</u> |                 |

**PART III - SCHEDULE OF TRANSACTIONS IN WMI CLASS SECURITIES**

Failure to provide proof of all beginning holdings, purchases or acquisitions, sales, and ending holdings information requested below will impede proper processing of your claim and may result in rejection of your claim. Please include proper documentation with your Claim Form as described in detail in Part II – General Instructions, Paragraph I, above.

**A. COMMON STOCK (CUSIP No. 939322103):**

1. **BEGINNING HOLDINGS:** State the number of shares of WMI common stock you held as of the close of trading on **October 18, 2005**. If none, write "zero" or "0".

|                           |
|---------------------------|
| Proof of holding enclosed |
|---------------------------|

Y  N

IF NONE, CHECK HERE

2. **PURCHASES/ACQUISITIONS:** Separately list each and every purchase and/or acquisition, including free receipts, of WMI common stock during the period **October 19, 2005** through and including the close of trading on **October 20, 2008**.<sup>2</sup>

| Date(s) of Purchase or Acquisition<br>(List Chronologically)<br>(Month/Day/Year) | Number of Shares Purchased/Acquired | Purchase Price Per Share<br>(excluding taxes, commissions and fees) | Proof of Purchase enclosed                                       |
|--|-------------------------------------|---|--|
| 10/18/07   | 80                                  | \$ 2,541.20   | <input checked="" type="checkbox"/> Y <input type="checkbox"/> N |
| / /  |                                     | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N            |
| / /  |                                     | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N            |
| / /  |                                     | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N            |

3. **SALES:** Separately list each and every sale, including free deliveries, of WMI common stock during the period **October 19, 2005** through and including the close of trading on **October 20, 2008**.

IF NONE, CHECK HERE 

| Date(s) of Sale<br>(List Chronologically)<br>(Month/Day/Year) | Number of Shares Sold | Sale Price Per Share<br>(excluding taxes, commissions and fees) | Proof of Sale enclosed                                |
|---|-----------------------|---|---|
| / /   |                       | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /   |                       | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /   |                       | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /   |                       | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N |

4. **ENDING HOLDINGS:** State the number of shares of WMI common stock you held as of the close of trading on **October 20, 2008**. If none, write "zero" or "0".

|    |
|----|
| 80 |
|----|

Proof of holding enclosed  
 Y  N

<sup>2</sup>Please note: Information requested with respect to your purchases/acquisitions of WMI common stock from July 23, 2008 through and including October 20, 2008 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlements and will not be used for purposes of calculating your Recognized Claim pursuant to the Plan of Allocation.


**B. CAPITAL TRUST UNIT PREFERRED (CUSIP No. 939322848):**

1. **BEGINNING HOLDINGS:** State the number of units of Washington Mutual Capital Trust 2001's 5.375% Trust Preferred Income Equity Redeemable Securities (PIERS) Units, maturing 7/1/2041 ("Capital Trust Unit Preferred") you held as of the close of trading on **October 18, 2005**. If none, write "zero" or "0".

 Proof of holding enclosed  
 Y  N

2. **PURCHASES/ACQUISITIONS:** Separately list each and every purchase and/or acquisition, including free receipts, of Capital Trust Unit Preferred during the period **October 19, 2005** through and including the close of trading on **October 21, 2008**.<sup>3</sup>

**IF NONE, CHECK HERE** 

| Date(s) of Purchase or Acquisition<br>(List Chronologically)<br>(Month/Day/Year) | Number of Units Purchased/Acquired | Purchase Price Per Unit<br>(excluding taxes, commissions and fees) | Proof of Purchase enclosed                            |
|--|------------------------------------|--|---|
| / /  |                                    | \$ .   | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /  |                                    | \$ .   | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /  |                                    | \$ .   | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /  |                                    | \$ .   | <input type="checkbox"/> Y <input type="checkbox"/> N |

3. **SALES:** Separately list each and every sale, including free deliveries, of Capital Trust Unit Preferred during the period **October 19, 2005** through and including the close of trading on **October 21, 2008**.

**IF NONE, CHECK HERE** 

| Date(s) of Sale<br>(List Chronologically)<br>(Month/Day/Year) | Number of Units Sold | Sale Price Per Unit<br>(excluding taxes, commissions and fees) | Proof of Sale enclosed                                |
|---|----------------------|--|---|
| / /   |                      | \$ .   | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /   |                      | \$ .   | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /   |                      | \$ .   | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /   |                      | \$ .   | <input type="checkbox"/> Y <input type="checkbox"/> N |

4. **ENDING HOLDINGS:** State the number of units of Capital Trust Unit Preferred you held as of the close of trading on **October 21, 2008**. If none, write "zero" or "0".

  
 Proof of holding enclosed  
 Y  N

<sup>3</sup>**Please note:** Information requested with respect to your purchases/acquisitions of Capital Trust Unit Preferred from July 23, 2008 through and including October 21, 2008 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlements and will not be used for purposes of calculating your Recognized Claim pursuant to the Plan of Allocation.



## C. SERIES R STOCK (CUSIP No. 939322814):

1. **PURCHASES/ACQUISITIONS:** Separately list each and every purchase and/or acquisition, including free receipts, of 7.75% Series R Non-Cumulative Perpetual Convertible Preferred Stock, offered in WMI's December 2007 Offering ("Series R Stock") at any time prior to the close of trading on **May 13, 2011**.<sup>4</sup>

IF NONE, CHECK HERE

| Date(s) of Purchase or Acquisition<br>(List Chronologically)<br>(Month/Day/Year) | Number of Shares Purchased/Acquired | Purchase Price Per Share<br>(excluding taxes, commissions and fees) | Proof of Purchase enclosed                            |
|--|-------------------------------------|---|---|
| / /  |                                     | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /  |                                     | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /  |                                     | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /  |                                     | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N |

2. **SALES:** Separately list each and every sale, including free deliveries, of Series R Stock at any time prior to the close of trading on **May 13, 2011**.

IF NONE, CHECK HERE

| Date(s) of Sale<br>(List Chronologically)<br>(Month/Day/Year) | Number of Shares Sold | Sale Price Per Share<br>(excluding taxes, commissions and fees) | Proof of Sale enclosed                                |
|---|-----------------------|---|---|
| / /   |                       | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /   |                       | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /   |                       | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /   |                       | \$ .  | <input type="checkbox"/> Y <input type="checkbox"/> N |

3. **ENDING HOLDINGS:** State the number of shares of Series R Stock you held as of the close of trading on **May 13, 2011**. If none, write "zero" or "0".

|  |
|--|
|  |
|--|

Proof of holding enclosed  
 Y  N

<sup>4</sup>Please note: Information requested with respect to your purchases/acquisitions of Series R Stock from July 23, 2008 through and including May 13, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlements and will not be used for purposes of calculating your Recognized Claim pursuant to the Plan of Allocation.


**D. FLOATING RATE NOTES (CUSIP No. 939322AW3):**

1. **PURCHASES/ACQUISITIONS:** Separately list each and every purchase and/or acquisition, including free receipts, of Floating Rate Notes due August 24, 2009, offered in WMI's August 2006 Offering ("Floating Rate Notes") at any time prior to the close of trading on **May 13, 2011**.<sup>5</sup> IF NONE, CHECK HERE

| Date(s) of Purchase or Acquisition<br>(List Chronologically)<br>(Month/Day/Year) | Face Value Purchased/Acquired | Purchase Price Per Note<br>(excluding taxes, commissions and fees) | Proof of Purchase enclosed                            |
|--|-------------------------------|--|---|
| / /  |                               | \$ . . . . .   | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /  |                               | \$ . . . . .   | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /  |                               | \$ . . . . .   | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /  |                               | \$ . . . . .   | <input type="checkbox"/> Y <input type="checkbox"/> N |

2. **SALES:** Separately list each and every sale, including free deliveries, of Floating Rate Notes at any time prior to the close of trading on **May 13, 2011**. IF NONE, CHECK HERE

| Date(s) of Sale<br>(List Chronologically)<br>(Month/Day/Year) | Face Value Sold | Sale Price Per Note<br>(excluding taxes, commissions and fees) | Proof of Sale enclosed                                |
|---|-----------------|--|---|
| / /   |                 | \$ . . . . .   | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /   |                 | \$ . . . . .   | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /   |                 | \$ . . . . .   | <input type="checkbox"/> Y <input type="checkbox"/> N |
| / /   |                 | \$ . . . . .   | <input type="checkbox"/> Y <input type="checkbox"/> N |

3. **ENDING HOLDINGS:** State the face value of Floating Rate Notes you held as of the close of trading on **May 13, 2011**. If none, write "zero" or "0". ( )

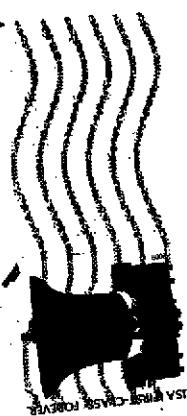
Proof of holding enclosed  
 Y  N

<sup>5</sup>Please note: Information requested with respect to your purchases/acquisitions of Floating Rate Notes from July 23, 2008 through and including May 13, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlements and will not be used for purposes of calculating your Recognized Claim pursuant to the Plan of Allocation.

Walter J. Faherty  
27 Myrtle Ave.  
Bridgeport, CT 06604-5734

STAMFORD, CT 068

28 OCT 2011 FM 26 T



United States District Court  
Western District of Washington  
United States Court House  
700 Stewart Street  
Wa. 98101

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